

# VLS SECURITIES LIMITED

CIN: U74899DL1994PLC062123

## Investor Complaints Data

(pursuant to SEBI Circular No. SEBI/HO/MIRSD/DOP/P/CIR/2021 dated December 02, 2021)

### Investor Complaint Data for October, 2022

| S. No.   | Received from           | Carried forward from previous month | Received during the month | Percentage of total client base | Total pending | Resolved* | Pending at the end of the month** |                                | Average resolution time^ (in days) |
|----------|-------------------------|-------------------------------------|---------------------------|---------------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|          |                         |                                     |                           |                                 |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| <b>1</b> | <b>October, 2022</b>    |                                     |                           |                                 |               |           |                                   |                                |                                    |
|          | Directly from investors | 0                                   | 0                         | 0.00%                           | 0             | 0         | 0                                 | 0                              | 0                                  |
|          | SEBI (SCORES)           | 0                                   | 0                         | 0.00%                           | 0             | 0         | 0                                 | 0                              | 0                                  |
|          | Stock Exchanges         | 0                                   | 0                         | 0.00%                           | 0             | 0         | 0                                 | 0                              | 0                                  |
|          | Other Sources (if any)  | 0                                   | 0                         | 0.00%                           | 0             | 0         | 0                                 | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                  | <b>0.00%</b>                    | <b>0</b>      | <b>0</b>  | <b>0</b>                          | <b>0</b>                       | <b>0</b>                           |

### Monthly Complaint Disposal Trend

| S. No.   | Month              | Carried forward from previous month | Received | Resolved* | Pending** |
|----------|--------------------|-------------------------------------|----------|-----------|-----------|
| <b>1</b> | April, 2022        | 0                                   | 0        | 0         | 0         |
| <b>2</b> | May, 2022          | 0                                   | 0        | 0         | 0         |
| <b>3</b> | June, 2022         | 0                                   | 0        | 0         | 0         |
| <b>4</b> | July, 2022         | 0                                   | 0        | 0         | 0         |
| <b>5</b> | August, 2022       | 0                                   | 0        | 0         | 0         |
| <b>6</b> | September, 2022    | 0                                   | 0        | 0         | 0         |
| <b>7</b> | October, 2022      | 0                                   | 0        | 0         | 0         |
|          | <b>Grand Total</b> | <b>0</b>                            | <b>0</b> | <b>0</b>  | <b>0</b>  |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Annual Complaint Disposal Trend

| S. No.   | Year               | Carried forward from pervious year | Received during the year | Resolved during the year | Pending at the end of the year |
|----------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| <b>1</b> | 2017-18            | 0                                  | 0                        | 0                        | 0                              |
| <b>2</b> | 2018-19            | 0                                  | 0                        | 0                        | 0                              |
| <b>3</b> | 2019-20            | 0                                  | 0                        | 0                        | 0                              |
| <b>4</b> | 2020-21            | 0                                  | 0                        | 0                        | 0                              |
| <b>5</b> | 2021-22            | 0                                  | 0                        | 0                        | 0                              |
| <b>6</b> | 2022-23            | 0                                  | 0                        | 0                        | 0                              |
|          | <b>Grand Total</b> | <b>0</b>                           | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |